

# CONTROLCASE MILESTONES

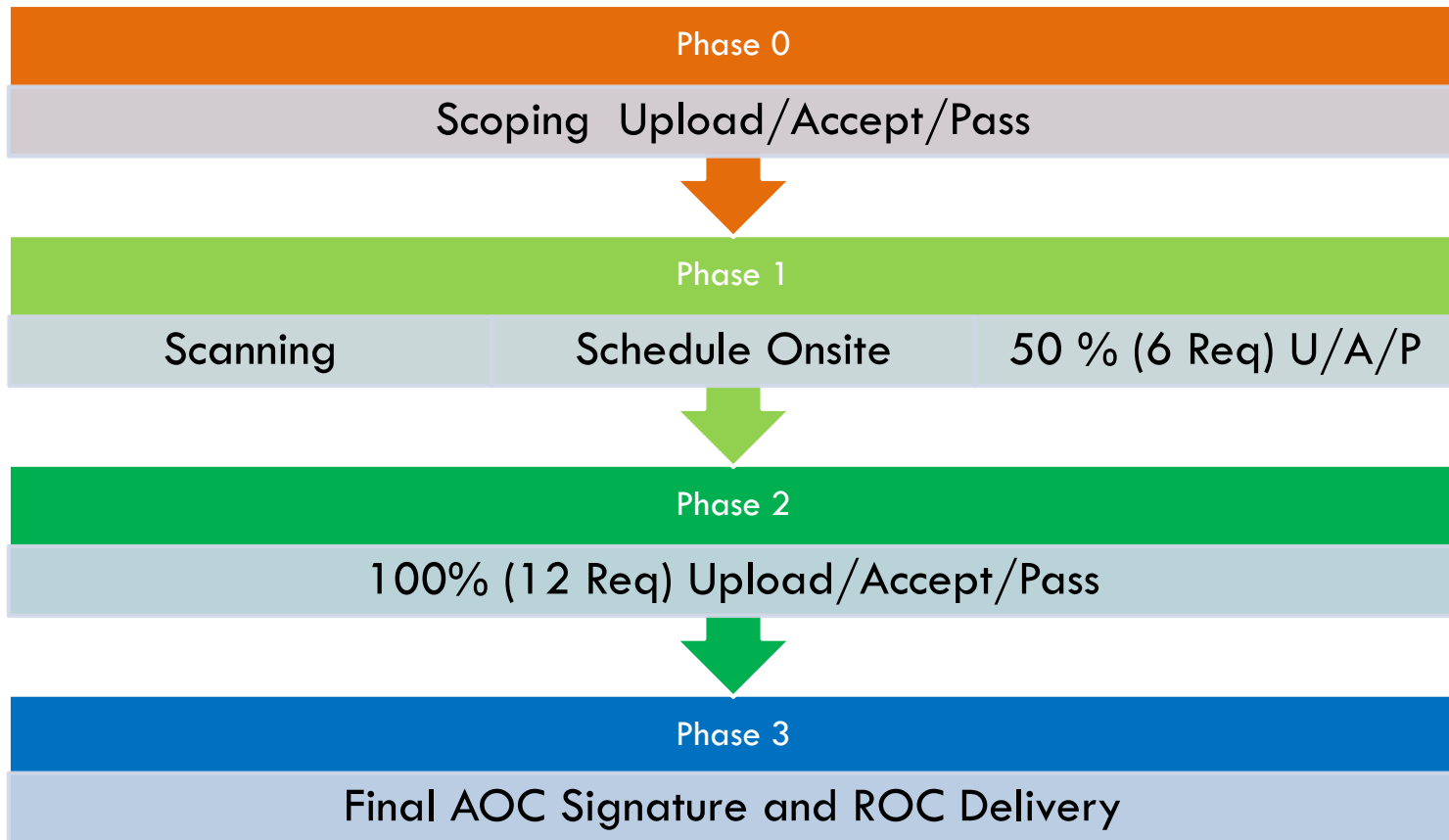
# Why Milestones?

- Peace of Mind
  - ▣ Ability to determine and report if we going to hit our deadline or if adjustments need to be made
- Drive Organizational Behavior
  - ▣ A task takes as long as you give it!

# Milestones in 3 Categories

- PCI
- HITRUST
- Other (AUP/HIPAA/EI3PA/FISMA)

# Unlocking Milestones - PCI



# Sample PCI Milestones – 4 months: Cert Date June 29 2018

Certification Date:

Friday, June 29, 2018

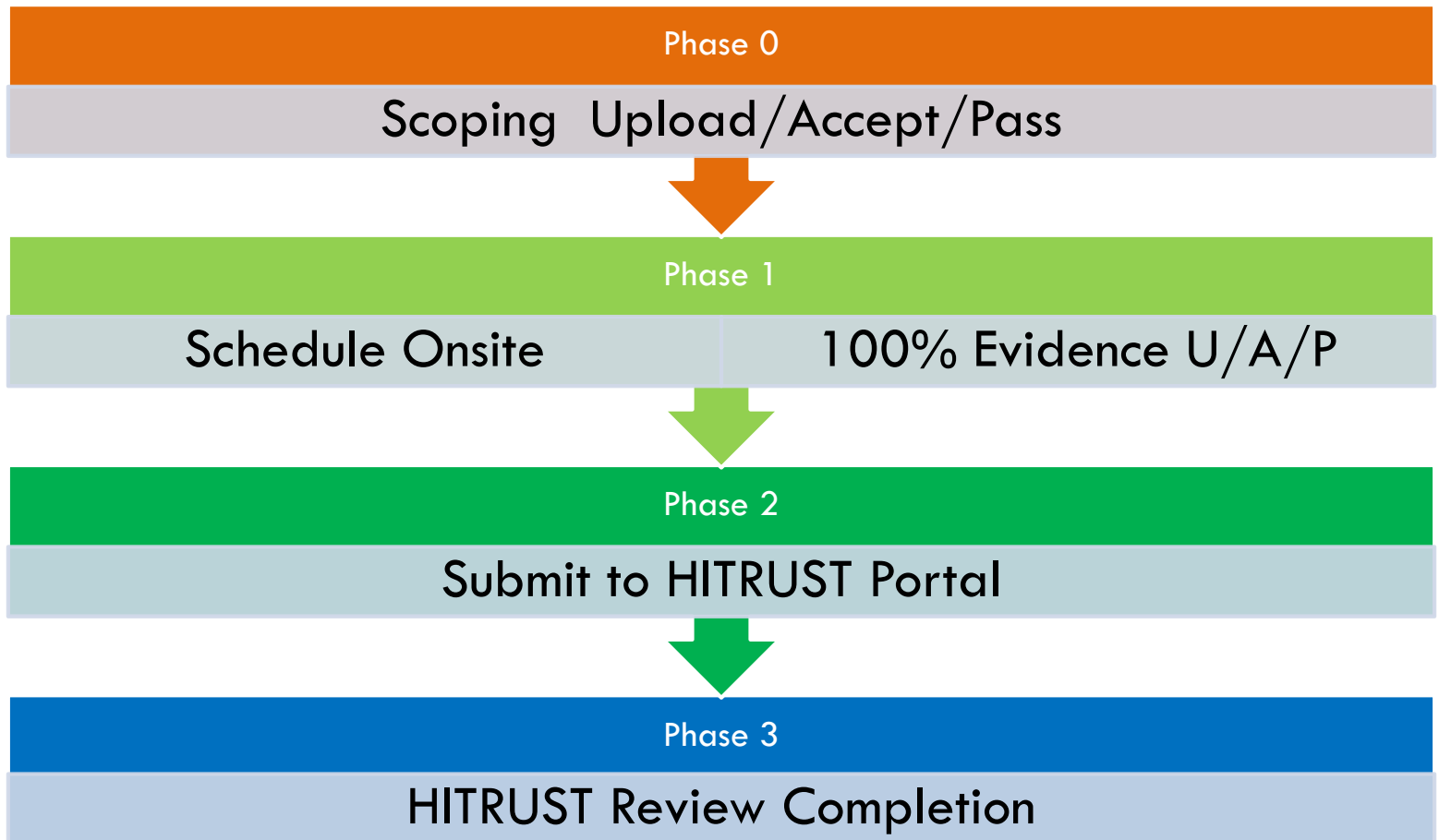
All Milestones assume completion of previous milestone.

Phase	Milestone	Due Date
Phase 0 Planning	CSM Kickoff for Evidence Collection	Friday, March 23, 2018
	Strategy Meeting	Friday, March 30, 2018
Phase 1 Scoping	Scoping Uploaded	Friday, April 13, 2018
	Scoping Passed	Friday, April 27, 2018
	Scoping Lapse Date	Friday, May 4, 2018
Phase 2 50% Requirements	50% Evidence Uploaded	Monday, May 7, 2018
	50% Evidence Passed	Monday, May 28, 2018
	50% Evidence Lapse Date	Monday, June 4, 2018
Phase 3 100% Requirements	100% Evidence Uploaded	Wednesday, May 30, 2018
	100% Evidence Passed	Wednesday, June 20, 2018
	100% Evidence Lapse Date	Friday, June 22, 2018
Phase 4 ROC Delivery	Draft ROC (As Needed)	Tuesday June 26, 2018
	Comments from Customer	Wednesday June 27, 2018
	Final Draft AOC and ROC	Thursday June 28 2018
	Executed AOC and ROC	Friday June 29, 2018

# Sample PCI Milestones – 6 months: Cert Date June 29 2018

Cert Date		Friday, June 29, 2018
Phase	Milestone	Due Date
<b>Phase 0</b> <b>Planning</b>	Kickoff to Establish Milestones	Friday, January 26, 2018
	Strategy Meeting Lapse Date	Friday, February 9, 2018
<b>Phase 1</b> <b>Scoping</b>	Scoping Uploaded	Friday, March 9, 2018
	Scoping Passed	Friday, April 6, 2018
	Scoping Lapse Date	Friday, April 13, 2018
<b>Phase 2</b> <b>50% Requirements</b>	50% Evidence Uploaded	Friday, April 20, 2018
	50% Evidence Passed	Friday, May 11, 2018
	50% Evidence Lapse Date	Friday, May 18, 2018
<b>Phase 3</b> <b>100% Requirements</b>	100% Evidence Uploaded	Friday, May 25, 2018
	100% Evidence Passed	Friday, June 15, 2018
	100% Evidence Lapse Date	Monday, June 18, 2018
<b>Phase 4</b> <b>ROC Delivery</b>	Draft ROC (As Needed)	Thursday June 21, 2018
	Customer ROC Comments	Tuesday June 26, 2018
	Final AOC and ROC for Review	Thursday June 29, 2018
	Final executed AOC, ROC	Friday June 29, 2018

# Unlocking Milestones - HITRUST



# Sample HITRUST Milestones – ~7 months

Certification Date:

Friday, September 14, 2018

Phase	Milestone	Due Date
Phase 0 Planning	CSM Kickoff for Evidence Collection Due	Friday, January 26, 2018
	Strategy Meeting Due	Friday, February 9, 2018
Phase 1 Scoping	Scoping Uploaded	Friday, March 9, 2018
	Scoping Passed	Friday, April 6, 2018
	Scoping Lapse Date	Friday, April 13, 2018
Phase 2 50% Evidence	50% Evidence Uploaded	Friday, April 20, 2018
	50% Evidence Passed	Friday, May 11, 2018
	50% Evidence Lapse Date	Friday, May 18, 2018
Phase 3 100% Evidence	100% Evidence Uploaded	Friday, May 25, 2018
	100% Evidence Passed	Friday, June 15, 2018
	100% Evidence Lapse Date	Monday, June 18, 2018
Phase 4	100% Submission to HITRUST Portal	Friday July 20, 2018
HITRUST Submission		
Phase 5	HITRUST Response	Friday Aug 31, 2018
Response, Remediation	Remediation and Finalization	Friday Sept 14, 2018



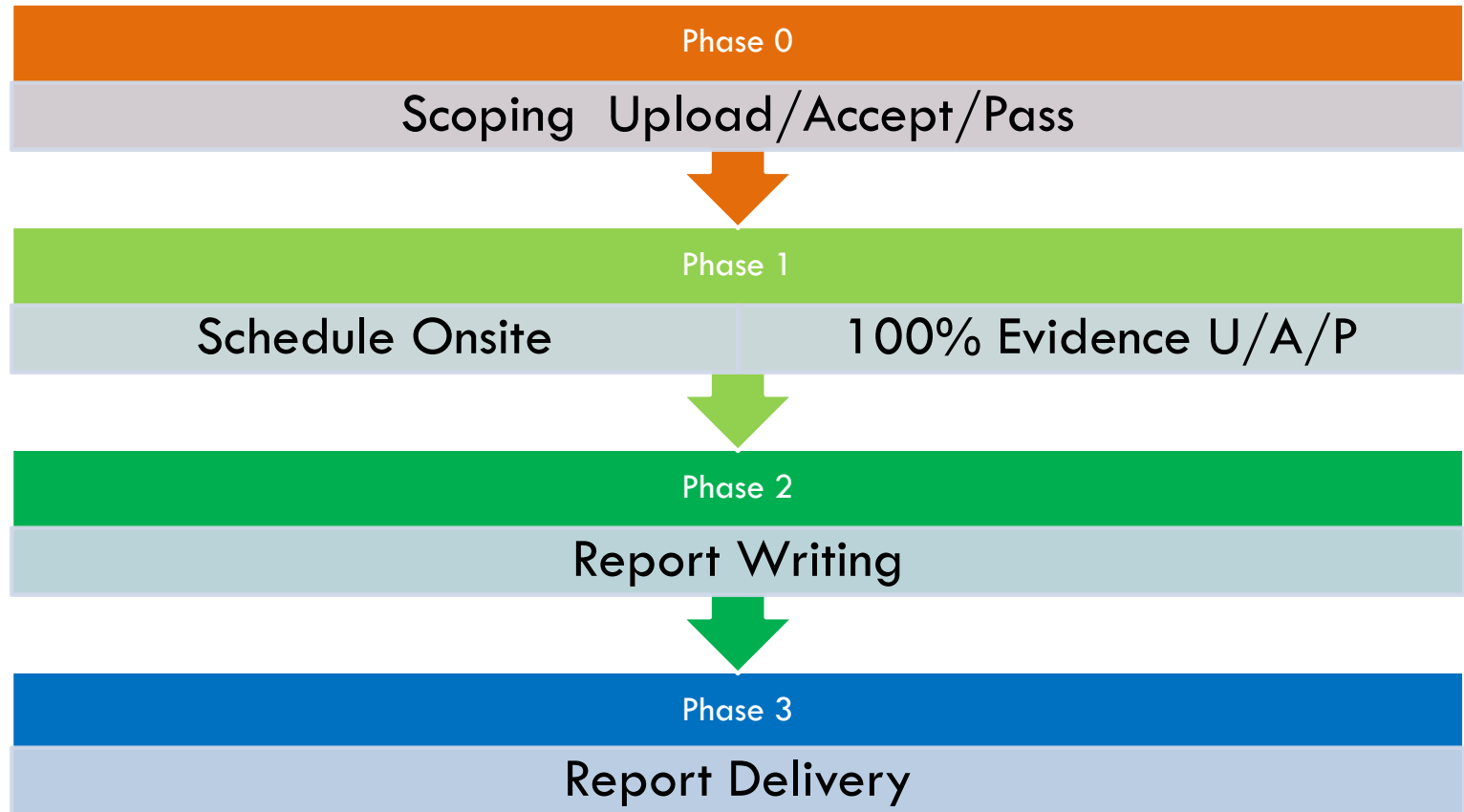
# Sample HITRUST Milestones – ~4 months

Certification Date: Friday Aug 3, 2018

All Milestones assume completion of previous milestone.

Phase	Milestone	Due Date
Phase 0 Planning	CSM Kickoff for Evidence Collection Due	Friday, March 23, 2018
	Strategy Meeting Due	Friday, March 30, 2018
Phase 1 Scoping	Scoping Uploaded	Friday, April 13, 2018
	Scoping Passed	Friday, April 27, 2018
	Scoping Lapse Date	Friday, May 4, 2018
Phase 2 50% Requirements	50% Evidence Uploaded	Monday, May 7, 2018
	50% Evidence Passed	Monday, May 28, 2018
	50% Evidence Lapse Date	Monday, June 4, 2018
Phase 3 100% Requirements	100% Evidence Uploaded	Wednesday, May 30, 2018
	100% Evidence Passed	Wednesday, June 20, 2018
	100% Evidence Lapse Date	Friday, June 22, 2018
Phase 4 HITRUST Submission	Submit to HITRUST Portal	Friday July 6, 2018
Phase 4 Response, Remediation	Response from HITRUST	Friday July 20, 2018
	Remediation and Finalization	Friday Aug 3, 2018

# Unlocking Milestones – HIPAA/ EI3PA / FISMA/AUP



# Sample Milestones HIPAA/EI3PA/FISMA/AUP ~4 months

Certification Date: Friday Aug 3, 2018

All Milestones assume completion of previous milestone.

Phase	Milestone	Due Date
Phase 0 Planning	CSM Kickoff for Evidence Collection Due	Friday, March 23, 2018
	Strategy Meeting Due	Friday, March 30, 2018
Phase 1 Scoping	Scoping Uploaded	Friday, April 13, 2018
	Scoping Passed	Friday, April 27, 2018
	Scoping Lapse Date	Friday, May 4, 2018
Phase 2 50% Requirements	50% Evidence Uploaded	Monday, May 7, 2018
	50% Evidence Passed	Monday, May 28, 2018
	50% Evidence Lapse Date	Monday, June 4, 2018
Phase 3 100% Requirements	100% Evidence Uploaded	Wednesday, May 30, 2018
	100% Evidence Passed	Wednesday, June 20, 2018
	100% Evidence Lapse Date	Friday, June 22, 2018
Phase 4 Report Delivery	Draft Report (As Needed)	Friday July 20, 2018
	Comments from Customer	Thursday July 26, 2018
	Final Draft Report	Tuesday July 31 2018
	Final Report	Friday Aug 3, 2018

# Poll

- What are the biggest challenges in your organization to meeting evidence milestones?
  - ▣ Understanding the question
  - ▣ Organizational urgency of compliance
  - ▣ Resources

# Poll

- What do you worry about most with regard to Compliance?
  - A. Security ( or increasing risk of security breach)
  - B. Knowing at any given moment, and not just after the audit, whether or not we are compliant
  - C. Cost ( or rising costs of staying compliant?)
  - D. Support from Organization ( or getting support and attention for remaining compliant from the rest of the organization)
  - E. Other

# Success Stories

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*What is something that has gone well in compliance in your experiences, or what is something that is working? What is positive about compliance?*

# Table Discussion

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What is your biggest concern about your ability to remain compliant in the next 5 years?

# Thank you

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Thank you for doing the good work of security and compliance.