ControlCase Initiatives and Vision

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Agenda

- About ControlCase
- Certification
  - › Accomplishments in last year
  - › Plan for this year
- Compliance as a Service (CaaS)
  - › Accomplishments in last year
  - › Plan for this year
- Features Available to all Customers
- Question & Answers

ControlCase™ Corporate Overview

- Products/Services include 'Compliance as a Service (CaaS) – PCI, ISO, EI3PA, HIPAA', 'Data Discovery Product' and 'Certifications/Audits – PCI, ISO 27001, EI3PA, HIPAA, HITRUST, MARS-E, FISMA, EU Privacy Shield, SOC1, SOC2 and SOC3'

- <u>Over 500 clients</u> IN 40 COUNTRIES across US, CEMEA, Europe and Asia/Pacific regions

- Headquartered out of Fairfax, VA - USA

- Offices & Personnel globally

ControlCase
Compliance as a Service

Accreditations

➢ ControlCase is a **Qualified Security Assessor Company, QSAC** as certified by PCI Security Standards Council.

➢ ControlCase is a **Approved Scanning Vendor, ASV** as certified by PCI Security Standards Council

➢ ControlCase is a certified **Application Assessor (PA-DSS)** as certified by the PCI Security Standards Council

➢ ControlCase a certified **Point to Point Encryption (P2PE)** Assessor as certified by the PCI Security Standards Council

➢ ControlCase is **accredited to RvA, Netherland** and is a **Certifying body for ISO 27001**

➢ ControlCase is certified as per **CERT IN Empaneled** Company

➢ ControlCase is a certified product licensee and assessor for the **Shared Assessment Program**, formerly **Financial Institutions Shared Assessments Program (FISAP)** by banking institution forum BITS.

➢ ControlCase is certified as a **TG-3 (TR-39)** assessor

➢ ControlCase provides **EI3PA** assessments

➢ ControlCase is a certified **Health Information Trust Alliance (HITRUST)** assessor in support of the HIPAA standards

➢ ControlCase provides **SOC1, SOC2** and **SOC3** attestation and certification services

ControlCase
Compliance as a Service

Who does what

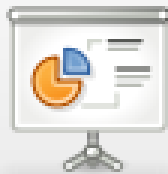Customer Environment

Compliance Assessor

Security Assessor

Customer Success Manager

Scheduler

Compliance Portal KBOX

QA Team

Support Team

Security Testing Team

Your 1st level of interaction

Your 2nd level of interaction

ControlCase
Compliance as a Service

Accomplishments

- Increase staffing by 40%

- 250 plus PCI Certifications

- Two P2PE certifications

- 10,000 plus security tests

  › Penetration Tests

  › Vulnerability Scans

  › Application Tests

  › Firewall Reviews

- Monitoring logs for compliance for 100+ customers

- 100,000 plus CDD scans

- More than 100 other non PCI certifications/assessments across SOC, HITRUST, HIPAA, ISO 27001/2, EI3PA, MARS-E, Privacy Shield, GLBA, NIST 800-53 and Shared Assessment AUP

ControlCase
Compliance as a Service

Certification

Accomplishments

- Centralized roles
  - › Compliance Assessor (CA)
  - › Customer Success Manager (CSM)
- Certification Process
  - Initial 7 questions to pass QA before the remaining are uploaded/assessed
  - Dashboard view of status – Under Evaluation, Assigned for QA, Pass
  - Sample to be provided after "Phase I" for sample based questions
- Questions
  - Questions have been reduced to 98 for PCI DSS 3.2 and 234 that cover consolidated 13 standards including PCI DSS
  - All PCI DSS questions have sample templates and scripts

ControlCase
Compliance as a Service

Accomplishments contd.

- Continual Compliance
  - › Evidence "becomes due" at various times during the year
  - › Enabling Business as Usual
- Roll out of ver 7 of ControlCase GRC
  - Increased speeds
  - Concurrent user features working on same sheet
- Single click collection of AWS based evidence for certification
- Dedicated content team
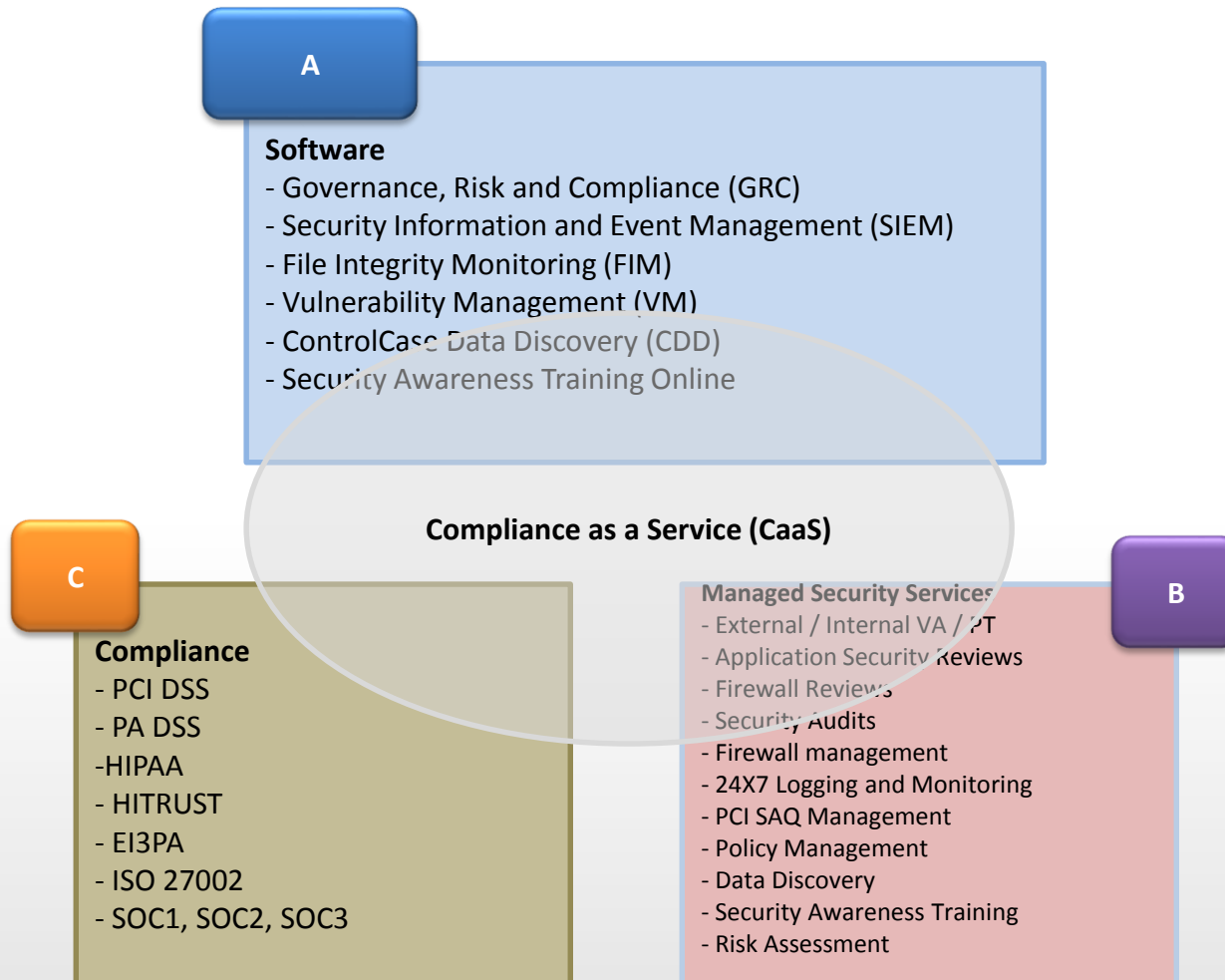- Continue to add certifications for One Audit

Roadmap for coming year

- Reporting automation
  › Automation in documentation of ROC's and other compliance documents

- Assignment of questions
  - Ability to assign questions to individuals/groups
  - Management interface to report on missing evidence
  - Automatic escalation if evidence not uploaded in a timely manner

- Continue increasing content library

- Addition of new status to pie chart
  - Addition of a status "Ready for QA"
  - Addition of a status "Passed with comments"

ControlCase
Compliance as a Service

Compliance as a Service (CaaS)

Compliance as a Service

A

Software
- Governance, Risk and Compliance (GRC)
- Security Information and Event Management (SIEM)
- File Integrity Monitoring (FIM)
- Vulnerability Management (VM)
- ControlCase Data Discovery (CDD)
- Security Awareness Training Online

Compliance as a Service (CaaS)

C

Compliance
- PCI DSS
- PA DSS
- HIPAA
- HITRUST
- EI3PA
- ISO 27002
- SOC1, SOC2, SOC3

B

Managed Security Services
- External / Internal VA / PT
- Application Security Reviews
- Firewall Reviews
- Security Audits
- Firewall management
- 24X7 Logging and Monitoring
- PCI SAQ Management
- Policy Management
- Data Discovery
- Security Awareness Training
- Risk Assessment

ControlCase
Compliance as a Service

Accomplishments

- Card Data Discovery
  - › Roll out of ver 7.0
  - › Support for Office 365
  - › Reporting and Trend Analysis
- CaaS team on ConnectWise (an internal workflow tool)
- Formal assignment of "Security Assessors" vs "Compliance Assessors"
  - › Central and single security assessor point of contact for 360 degree view (i.e. assessment, scans, logging etc.)

Roadmap for coming year

- Automation of up to 60% of questions from the evidence collection questionnaire
- Single view of IT assets
- Triangulate vulnerability data, logs and asset inventory information
  › Pin point compliance issues
  › Spend time on analysis vs. operations
  › Security focus with a compliance dashboard

Features Available

Features available

- Policy Management
- Vulnerability Management
- Vendor Management
- Remediation Management
- Reporting against multiple regulations (One Audit)
- CaaS Customers
  › Self service external scans
  › Self service internal scans

Thank You !

ControlCase
Compliance as a Service