Evidence Review Process

Panelists

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Phases in a typical Compliance Process

Assess

What is the current state

- Gather information
- Gather evidence
- Review collected evidence

Remediate

Fix the gaps

- Validate the gaps
- Fix the gaps
- Add compensating controls
- Test and verify fixes

Certification

- Get the final verdict
- Get certified

Start Over again!
Focus of the panel discussion

The “Assess” phase

- Gather Evidence
- Review Evidence
- Repeat
Ideas for the Panel Discussion

Current State of this whole process

Evolution of this process over the past decade

Ideas for improvement on the next 3 years
Panel Questions

• Of all the phases in a certification process - how difficult or cumbersome is the evidence collection and review phase compared to other phases?

• What techniques or tools have worked best for you?

• Is there a difference between Year 1 and Subsequent years?
Additional Questions

• How would you define a perfect process for evidence collection and review?

• Would you rather deal with a human or a computer through this whole process?

• Is there a better way to organize the documentation/evidence?